

WEST VIRGINIA LEGISLATURE

2022 REGULAR SESSION

Introduced

Senate Bill 221

BY SENATORS TAKUBO AND PLYMALE

[Introduced January 12, 2022; referred to
the Committee on Health and Human Resources]

1 A BILL to amend the Code of West Virginia, 1931, as amended, by adding thereto a new article,
 2 designated §30-28A-1, §30-28A-2, §30-28A-3, §30-28A-4, §30-28A-5, §30-28A-6, §30-
 3 28A-7, §30-28A-8, §30-28A-9, §30-28A-10, §30-28A-11, §30-28A-12, §30-28A-13, and
 4 §30-28A-14, all relating to establishing an occupational therapy compact; providing for the
 5 purpose in creating the compact; providing for definitions relating to the compact;
 6 establishing guidelines for state participation in the compact; creating a compact privilege;
 7 creating a means to obtain a state home license through the compact; defining active duty
 8 military personnel and their spouses for purposes of the compact; defining adverse
 9 actions; establishing the occupational therapy compact commission; creating a data
 10 system; providing for rulemaking; providing for oversight, dispute resolution, and
 11 enforcement; creating a date of implementation of the interstate commission for
 12 occupational therapy practice and associated rules, withdrawal, and amendment;
 13 providing for the construction and severability of the compact; and establishing the binding
 14 effect of the compact and other laws.

Be it enacted by the Legislature of West Virginia:

ARTICLE 28A. WEST VIRGINIA OCCUPATIONAL THERAPY COMPACT.

§30-28A-1. Purpose.

1 The purpose of this compact is to facilitate interstate practice of occupational therapy with
 2 the goal of improving public access to occupational therapy services. The practice of occupational
 3 therapy occurs in the state where the patient or client is located at the time of the patient or client
 4 encounter. The compact preserves the regulatory authority of states to protect public health and
 5 safety through the current system of state licensure. This compact is designed to achieve the
 6 following objectives:

7 (a) Increase public access to occupational therapy services by providing for the mutual
 8 recognition of other member state licenses;

9 (b) Enhance the states' ability to protect the public's health and safety;

10 (c) Encourage the cooperation of member states in regulating multi-state occupational
11 therapy practice;

12 (d) Support spouses of relocating military members;

13 (e) Enhance the exchange of licensure, investigative, and disciplinary information between
14 member states;

15 (f) Allow a remote state to hold a provider of services with a compact privilege in that state
16 accountable to that state's practice standards; and

17 (g) Facilitate the use of telehealth technology in order to increase access to occupational
18 therapy services.

§30-28A-2. Definitions.

1 As used in this compact, and except as otherwise provided, the following definitions shall
2 apply:

3 "Active-duty military" means full-time duty status in the active uniformed service of the
4 United States, including members of the National Guard and Reserve on active-duty orders
5 pursuant to 10 U.S.C. Chapter 1209 and 10 U.S.C. 1211.

6 "Adverse action" means any administrative, civil, equitable, or criminal action permitted by
7 a state's laws which is imposed by a licensing board of other authority against an occupational
8 therapist or occupational therapy assistant, including actions against an individual's license or
9 compact privilege such as censure, revocation, suspension, probation, monitoring of the licensee,
10 or restriction on the licensee's practice.

11 "Alternative program" means a non-disciplinary monitoring process approved by an
12 occupational therapy licensing board;

13 "Compact privilege" means the authorization, which is equivalent to a license, granted by
14 a remote state to allow a licensee from another member state to practice as an occupational
15 therapist or practice as an occupational therapy assistant in the remote state under its laws and
16 rules. The practice of occupational therapy occurs in the member state where the patient or client

17 is located at the time of the patient or client encounter.

18 “Continuing competence or education” means a requirement, as a condition of license,
19 renewal, to provide evidence of participation in, or completion of, educational and professional
20 activities relevant to practice or area of work.

21 “Current significant investigative information” means investigative information that a
22 licensing board, after an inquiry or investigation that includes notification and an opportunity for
23 the occupational therapist or occupational therapy assistant to respond, if required by state law,
24 has reason to believe is not groundless and, if proved true, would indicate more than a minor
25 infraction.

26 “Data system” means a repository of information about licensees, including, but not limited
27 to, license status, investigative information, compact privileges, and adverse actions.

28 “Encumbered license” means a license in which an adverse action restricts the practice of
29 a occupational therapy by the licensee or said adverse action has been reported to the National
30 Practitioners Data Bank (NPDB).

31 “Executive committee” means a group of directors elected or appointed to act on behalf
32 of, and within the powers granted to them by, the Commission.

33 “Home state” means the member state that is the licensee’s primary state of residence.

34 “Impaired practitioner” means individuals whose professional practice is adversely
35 affected by substance abuse, addiction, or other health-related conditions.

36 “Investigative information” means information, records, or documents received or
37 generated by an occupational therapy licensing board pursuant to an investigation.

38 “Jurisprudence requirement” means the assessment of an individual’s knowledge of the
39 laws and rules governing the practice of occupational therapy in a state.

40 “Licensee” means an individual who currently holds an authorization from the state to
41 practice as an occupational therapist or as an occupational therapy assistant.

42 “Member state” means a state that has enacted the compact.

43 “Occupational therapist” means an individual who is licensed by a state to practice
44 occupational therapy.

45 “Occupational therapy assistant” means an individual who is licensed by a state to assist
46 in the practice of occupational therapy.

47 “Occupational therapy,” “occupational therapy practice,” and the “practice of occupational
48 therapy” mean the care and services provided by an occupational therapist or an occupational
49 therapy assistant as set forth in the member state’s statutes and regulations.

50 “Occupational therapy compact commission” or “Commission” means the national
51 administrative body whose membership consists of all states that have enacted the compact.

52 “Occupational therapy licensing board” or “licensing board” means the agency of a state
53 that is authorized to license and regulate occupational therapists and occupational therapy
54 assistants.

55 “Primary state of residence” means the state (also known as the home state) in which an
56 occupational therapist or occupational therapy assistant who is not active-duty military declares
57 a primary residence for legal purposes as verified by: driver’s license, federal income tax return,
58 lease, deed, mortgage, or voter registration or other verifying documentation as further defined
59 by commission rules.

60 “Remote state” means a member state other than the home state, where a licensee is
61 exercising or seeking to exercise the compact privilege.

62 “Rule” means a regulation promulgated by the commission that has the force of law.

63 “State” means any state, commonwealth, district, or territory of the United State of America
64 that regulates the practice of occupational therapy.

65 “Single-state license” means an occupational therapist or occupational therapy assistant
66 license issued by a member state that authorizes practice only within the issuing state and does
67 not include a compact privilege in any other member state.

68 “Telehealth” means the application of telecommunication technology to deliver

69 occupational therapy services for assessment, intervention, or consultation.

§30-28A-3. State participation in the compact.

1 (a) To participate in the compact, a member state shall:

2 (1) License occupational therapists and occupational therapy assistants;

3 (2) Participate fully in the commission's data system, including, but not limited to, using
4 the commission's unique identifier as defined in the rules of the commission;

5 (3) Have a mechanism in place for receiving and investigating complaints about licensees;

6 (4) Notify the commission, in compliance with the terms of the compact and rules, of any
7 adverse action or the availability of investigative information regarding a licensee;

8 (5) Implement or utilize procedures for considering the criminal history records of
9 applicants for an initial compact privilege. These procedures shall include the submission of
10 fingerprints or other biometric-based information by applicants for the purpose of obtaining an
11 applicant's criminal history record information from the Federal Bureau of Investigation and the
12 agency responsible for retaining that State's criminal records;

13 (A) A member state shall, within a time frame established by the commission, require a
14 criminal background check for a licensee seeking or applying for a compact privilege whose
15 primary state of residence is that member state, by receiving the results of the Federal Bureau of
16 Investigation criminal records search, and shall use the results in making licensure decisions.

17 (B) Communication between a member state, the commission and among member states
18 regarding the verification of eligibility for licensure through the compact shall not include any
19 information received from the Federal Bureau of Investigation relating to a federal criminal records
20 check performed by a member state under Public Law 92-544.

21 (6) Comply with the rules of the commission;

22 (7) Utilize only a recognized national examination as a requirement for licensure pursuant
23 to the rules of the commission; and

24 (8) Have continuing competence or education requirements as a condition for licensee

25 renewal.

26 (b) A member state shall grant the compact privilege to a licensee holding a valid
27 unencumbered license in another member state in accordance with the terms of the compact and
28 rules.

29 (c) Member states may charge a fee for granting a compact privilege.

30 (d) A Member state shall provide for the state’s delegate to attend all occupational therapy
31 compact commission meetings.

32 (e) Individuals not residing in a member state shall not continue to be able to apply for a
33 member state’s single-state license as provided under the laws of each member state. However,
34 the single-state license granted to these individuals shall not be recognized as granting the
35 compact privilege in any other member state.

36 (f) Nothing in this compact shall affect the requirements established by a member state
37 for the issuance of a single-state license.

§30-28A-4. Compact privilege.

1 (a) To exercise the compact privilege under the terms and provisions of the compact, the
2 licensee shall:

3 (1) Hold a license in the home state;

4 (2) Have a valid United States Social Security Number or National Practitioner
5 Identification number;

6 (3) Have no encumbrance on any state license;

7 (4) Be eligible for a compact privilege in any member state in accordance with §30-28A-
8 4(d) of this code, §30-28A-4(f) of this code, §30-28A-4(g) of this code, and §30-28A-4(h) of this
9 code;

10 (5) Have paid all fines and completed all requirements resulting from any adverse action
11 against any license or compact privilege, and two years have elapsed from the date of such
12 completion;

13 (6) Notify the commission that the licensee is seeking the compact privilege within a
14 remote state or states;

15 (7) Pay any applicable fees, including any state fee for the compact privilege;

16 (8) Complete a criminal background check in accordance with §30-28A-3(a)(5) of this
17 code.

18 (A) The licensee shall be responsible for the payment of any fee associated with the
19 completion of a criminal background check.

20 (9) Meet any jurisprudence requirements established by the remote state or states in
21 which the licensee is seeking a compact privilege; and

22 (10) Report to the commission adverse action taken by any non-member state within 30
23 days from the date of the adverse action is taken.

24 (b) The compact privilege is valid until the expiration date of the home state license. The
25 licensee must comply with the requirements of §30-28A-4(a) of this code to maintain the compact
26 privileges in the remote state.

27 (c) A licensee providing occupational therapy in a remote state under the compact
28 privilege shall function within the laws and regulations of the remote state.

29 (d) Occupational therapy assistants practicing in a remote state shall be supervised by an
30 occupational therapist licensed or holding a compact privilege in that remote state.

31 (e) A licensee providing occupational therapy in a remote state is subject to that state's
32 regulatory authority. A remote state may, in accordance with due process and that state's laws,
33 remove a licensee's compact privilege in the remote state for a specific period of time, impose
34 finances, or take any other necessary actions to protect the health and safety of its citizens. The
35 licensee may be ineligible for a compact privilege in any state until the specific time for removal
36 has passed and all fines are paid.

37 (f) If a home state license is encumbered, the licensee shall lose the compact privilege in
38 any remote state until the following occur:

39 (1) The home state license is no longer encumbered; and

40 (2) Two years have elapsed from the date on which the home state license is no longer
41 encumbered in accordance with §30-28A-4(f)(1) of this code,

42 (g) Once an encumbered license in the home state is restored to good standing, the
43 licensee must meet the requirements of §30-28A-4(a) of this code to obtain a compact privilege
44 in any remote state,

45 (h) If a licensee's compact privilege in any remote state is removed, the individual may
46 lose the compact privilege in any other remote state until the following occur:

47 (1) The specific period of time for which the compact privilege was removed has ended;

48 (2) All fines have been paid and all conditions have been met;

49 (3) Two years have elapsed from the date of completing requirements for §30-28A-4(h)(1)
50 of this code and §30-28A-4(h)(2) of this code; and

51 (4) The compact privileges are reinstated by the commission, and the compact data
52 system is updated to reflect reinstatement.

53 (i) If a licensee's compact privilege in any remote state is removed due to an erroneous
54 charge, privileges shall be restored through the data system.

55 (j) Once the requirements of §30-28A-4(h) of this code have been met, the licensee must
56 meet the requirements in §30-28A-4(a) of this code to obtain a compact privilege in a remote
57 state.

§30-28A-5. Obtaining a new home state license by virtue of compact privilege.

1 (a) An occupational therapist or occupational therapy assistant may hold a home state
2 license, which allows for compact privileges in member states, in only one member state at a
3 time.

4 (b) If an occupational therapist or occupational therapy assistant changes primary state of
5 residence by moving between two member states:

6 (1) The occupational therapist or occupational therapy assistant shall file an application

7 for obtaining a new home state license by virtue of a compact privilege, pay all applicable fees,
8 and notify the current and new home state in accordance with applicable rules adopted by the
9 commission.

10 (2) Upon receipt of an application for obtaining a new home state license by virtue of
11 compact privilege, the new home state shall verify that the occupational therapist or occupational
12 therapy assistant meets the pertinent criteria outlined in §30-28A-4 of this code via the data
13 system, without need for primary source verification except for:

14 (A) An FBI fingerprint based criminal background check if not previously performed or
15 updated pursuant to the applicable rules adopted by the commission in accordance with Public
16 Law 92-544.

17 (B) Other criminal background check as required by the new home state; and

18 (C) Submission of any requisite jurisprudence requirements of the new home state.

19 (3) The former home state shall convert the former home state license into a compact
20 privilege once the new home state has activated the new home state license in accordance with
21 applicable rules adopted by the commission.

22 (4) Notwithstanding any other provision of this compact, if the occupational therapist or
23 occupational therapy assistant cannot meet the criteria in §30-28A-4 of this code, the new home
24 state shall apply its requirements for issuing a new single-state license.

25 (5) The occupational therapist or occupational therapy assistant shall pay all applicable
26 fees to the new home state in order to be issued a new home state license.

27 (c) If an occupational therapist or occupational therapy assistant changes primary state of
28 residence by moving from a member state to a non-member state, or from a non-member state
29 to a member state, the state criteria shall apply for issuance of a single-state license in the new
30 state.

31 (d) Nothing in this compact shall interfere with a licensee's ability to hold a single-state
32 license in multiple states; however, for the purposes of this compact, a licensee shall have only

33 one home state license.

34 (e) Nothing in this compact shall affect the requirements established by a member state
35 for the issuance of a single-state license.

§30-28A-6. Active-duty military personnel or their spouses.

1 Active-duty military personnel, or their spouses, shall designate a home state where the
2 individual has a current license in good standing. The individual may retain the home state
3 designation during the period the service member is on active duty. Subsequent to designating a
4 home state, the individual shall only change their home state through application for licensure in
5 the new state or through the process described in §30-28A-5 of this code.

§30-28A-7. Adverse actions.

1 (a) A home state shall have exclusive power to impose adverse action against an
2 occupational therapist's or occupational therapist assistant's license issued by the home state.

3 (b) In addition to the other powers conferred by state law, a remote state shall have the
4 authority, in accordance with existing state due process law, to:

5 (1) Take adverse action against an occupational therapist's or occupational therapy
6 assistant's compact privilege within that member state.

7 (2) Issue subpoenas for both hearings and investigations that require the attendance and
8 testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing
9 board in a member state for the attendance and testimony of witnesses or the production of
10 evidence from another member state shall be enforced in the latter state by any court of
11 competent jurisdiction, according to the practice and procedure of that court applicable to
12 subpoenas issued in proceeding pending before it. The issuing authority shall pay any witness
13 fees, travel expenses, mileage, and other fees required by the service statutes of the state in
14 which the witnesses or evidence are located.

15 (c) For purposes of taking adverse action, the home state shall give the same priority and
16 effect to the reported conduct received from a member state as it would if the conduct had

17 occurred within the home state. In so doing, the home state shall apply its own state laws to
18 determine appropriate action.

19 (d) The home state shall complete any pending investigations of occupational therapist or
20 occupational therapy assistant who changes primary state of residence during the course of the
21 investigations. The home state, where the investigations were initiated, shall also have the
22 authority to take appropriate action or actions and shall promptly report the conclusions of the
23 investigations to the occupational therapy compact commission data system. The occupational
24 therapy compact commission data system administrator shall promptly notify the new home state
25 of any adverse actions.

26 (e) A member state, if otherwise permitted by state law, may recover from the affected
27 occupational therapist or occupational therapy assistant the costs of investigations and disposition
28 of cases resulting from any adverse action taken against that occupational therapist or
29 occupational therapy assistant.

30 (f) A member state may take adverse action based on the factual findings of the remote
31 state, provided that the member state follows its own procedures for taking the adverse action.

32 (g) Joint Investigations —

33 (1) In addition to the authority granted to a member state by its respective state
34 occupational therapy laws and regulations or other applicable state law, any member state may
35 participate with other member states in joint investigations of licensees.

36 (2) Member states shall share any investigative, litigation, or compliance materials in
37 furtherance or any joint or individual investigation initiated under the compact.

38 (h) If an adverse action is taken by the home state against an occupational therapist's or
39 occupational therapy assistant's license, the occupational therapist's or occupational therapy
40 assistant's compact privilege in all other member states shall be deactivated until all
41 encumbrances have been removed from the state license. All home state disciplinary orders that
42 impose adverse action against an occupational therapist's or occupational therapy assistant's

43 license shall include a statement that the occupational therapist's or occupational therapy
44 assistant's compact privilege is deactivated in all member states during the pendency of the order.

45 (i) If a member state takes adverse action, it shall promptly notify the administrator of the
46 data system. The administrator of the data system shall promptly notify the home state of any
47 adverse actions by remote states.

48 (j) Nothing in this compact shall override a member state's decision that participation in an
49 alternative program may be used in lieu of adverse action.

§30-28A-8. Establishment of the occupational therapy compact commission.

1 (a) The compact member states hereby create and establish a joint public agency known
2 as the occupational therapy compact commission:

3 (1) The commission is an instrumentality of the compact states.

4 (2) Venue is proper and judicial proceedings by or against the commission shall be brought
5 solely and exclusively in a court of competent jurisdiction where the principal office of the
6 commission is located. The commission may waive venue and jurisdictional defenses to the
7 extent it adopts or consents to participate in alternative dispute resolution proceedings.

8 (3) Nothing in this compact shall be construed to be a waiver of sovereign immunity.

9 (b) Membership, voting, and meetings —

10 (1) Each member state shall have and be limited to one delegate selected by that member
11 state's licensing board.

12 (2) The delegate shall be either:

13 (A) A current member of the licensing board, who is an occupational therapist,
14 occupational therapy assistant, or public member; or

15 (B) An administrator of the licensing board.

16 (3) Any delegate may be removed or suspended from office as provided by the law of the
17 state from which the delegate is appointed.

18 (4) The member state board shall fill any vacancy occurring in the commission within 90

19 days.

20 (5) Each delegate shall be entitled to one vote with regard to the promulgation of rules and
21 creation of bylaws and shall otherwise have an opportunity to participate in the business and
22 affaires of the commission. A delegate shall vote in person or by such other means as provided
23 in the bylaws. The bylaws may provide for delegates' participation in meetings by telephone or
24 other means of communication.

25 (6) The commission shall meet at least once during each calendar year. Additional
26 meetings shall be held as set forth in the bylaws.

27 (7) The commission shall establish by rule a term of office for delegates.

28 (c) The commission shall have the following power and duties:

29 (1) Establish a code of ethics for the commission;

30 (2) Establish the fiscal year of the commission;

31 (3) Establish bylaws;

32 (4) Maintain its financial records in accordance with the bylaws;

33 (5) Meet and take such actions as are consistent with the provisions of this compact and
34 the bylaws;

35 (6) Promulgate uniform rules to facilitate and coordinate implementation and
36 administration of this compact. The rules shall have the force and effect of law and shall be binding
37 in all member states;

38 (7) Bring and prosecute legal proceedings or actions in the name of the commission,
39 provided that the standing of any state occupational therapy licensing board to sue or be sued
40 under applicable law shall not be affected;

41 (8) Purchase and maintain insurance and bonds;

42 (9) Borrow, accept, or contract for services of personnel, including, but not limited to,
43 employees of a member state;

44 (10) Hire employees, elect or appoint officers, fix compensation, define duties, grant such

45 individuals appropriate authority to carry out the purposes of the compact, and establish the
46 commission's personnel policies and programs relating to conflicts of interest, qualifications of
47 personnel, and other related personnel matters;

48 (11) Accept any and all appropriate donations and grants of money, equipment, supplies,
49 materials and services, and receive, utilize and dispose of the same: *Provided*, That at all times
50 the commission shall avoid any appearance of impropriety or conflict of interest;

51 (12) Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold
52 improve or use, any property, real, personal or mixed; *Provided*, That at all times the commission
53 shall avoid any appearance of impropriety;

54 (13) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of
55 any property real, personal, or mixed;

56 (14) Establish a budget and make expenditures;

57 (15) Borrow money;

58 (16) Appoint committees, including standing committees composed of members, state
59 regulators, state legislators or their representatives, and consumer representatives, and such
60 other interested persons as may be designated in this compact and the bylaws;

61 (17) Provide and receive information from, an cooperate with, law-enforcement agencies;

62 (18) Establish and elect and executive committee; and

63 (19) Perform such other functions as may be necessary or appropriate to achieve the
64 purposes of this compact consistent with the state regulation of occupational therapy licensure
65 and practice.

66 (d) The Executive Committee —

67 The executive committee shall have the power to act on behalf of the commission
68 according to the terms of this compact.

69 (1) The executive committee shall be composed of nine members:

70 (A) Seven voting members who are elected by the commission from the current

71 membership of the commission;

72 (B) One ex-officio, nonvoting member from a recognized national occupational therapy
73 professional association; and

74 (C) One ex-officio, nonvoting member from a recognized national occupational therapy
75 certification organization.

76 (2) The ex-officio members will be selected by their respective organizations.

77 (3) The commission may remove any member of the executive committee as provided in
78 bylaws.

79 (4) The executive committee shall meet at least annually;

80 (5) The executive committee shall have the following duties and responsibilities:

81 (A) Recommend to the entire commission changes to the rules or bylaws, changes to this
82 compact legislation, fees paid by compact member states such as annual dues, and any
83 commission compact fee charged to licensees for the compact privileges;

84 (B) Ensure compact administration services are appropriately provided, contractual or
85 otherwise;

86 (C) Prepare and recommend the budget;

87 (D) Maintain financial records on behalf of the commission;

88 (E) Monitor compact compliance of member states and provide compliance reports to the
89 commission;

90 (F) Establish additional committees as necessary; and

91 (G) Perform other duties as provided in rules or bylaws.

92 (e) Meetings of the commission —

93 (1) All meetings shall be open to the public, and public notice of the meetings shall be
94 given in the same manner as required under the rulemaking provisions in §30-28A-10 of this code.

95 (2) The commission or the executive committee or other committees of the commission
96 may convene in a closed, non-public meeting If the commission or executive committee or other

97 committees of the commission must discuss:

98 (A) Non-compliance of a member state with its obligations under the compact;

99 (B) The employment, compensation, discipline or other matters, practice or procedures
100 related to specific employees or other matters related to the commission's internal personnel
101 practices and procedures;

102 (C) Current, threatened, or reasonably anticipated litigation;

103 (D) Negotiation of contracts for the purchase, lease, or sale of goods, services, or real
104 estate;

105 (E) Accusing any person of a crime or formally censuring any person;

106 (F) Disclosure of trade secrets or commercial or financial information that is privileged or
107 confidential;

108 (G) Disclosure of information of a personal nature where disclosure would constitute a
109 clearly unwarranted invasion of personal privacy;

110 (H) Disclosure of investigative records compiled for law enforcement purposes;

111 (I) Disclosure of information related to any investigative reports prepared by or on behalf
112 of or for use of the commission or other committee charged with responsibility of investigation or
113 determination of compliance issues pursuant to the compact; or

114 (J) Matters specifically exempted from disclosure by federal or member state statute.

115 (3) If a meeting, or portion of a meeting, is closed pursuant to this provision, the
116 commission's legal counsel or designee shall certify that the meeting may be closed and shall
117 reference each relevant exempting provision.

118 (4) The commission shall keep minutes that fully and clearly describe all matters discussed
119 in a meeting and shall provide a full and accurate summary of actions taken, and the reasons
120 therefore, including a description of the views expressed. All documents considered in connection
121 with an action shall be identified in such minutes. All minutes and documents of a closed meeting
122 shall remain under seal, subject to release by a majority vote of the commission or order of a court

123 of competent jurisdiction.

124 (f) Financing of the commission —

125 (1) The commission shall pay, or provide for the payment of, the reasonable expenses of
126 its establishment, organization, and ongoing activities.

127 (2) The commission may accept any and all appropriate revenue sources, donations, and
128 grants of money, equipment, supplies, materials, and services.

129 (3) The commission may levy on and collect an annual assessment from each member
130 state or impose fees on other parties to cover the cost of the operations and activities of the
131 commission and its staff, which must be in a total amount sufficient to cover its annual budget as
132 approved by the commission each year for which revenue is not provided by other sources. This
133 aggregate annual assessment amount shall be allocated based upon a formula to be determined
134 by the commission, which shall promulgate a rule binding upon all member states.

135 (4) The commission shall not incur obligations of any kind prior to securing the funds
136 adequate to meet the same; nor shall the commission pledge the credit of any of the member
137 state, except by and with the authority of the member state.

138 (5) The commission shall keep accurate accounts of all receipts and disbursements. The
139 receipts and disbursements of the commission shall be subject to the audit and accounting
140 procedures established under its bylaws. However, all receipts and disbursements of funds
141 handled by the commission shall be audited yearly by a certified or licensed public accountant,
142 and the report of the audit shall be included in and become part of the annual report of the
143 commission.

144 (g) Qualified immunity, defense, and indemnification —

145 (1) The members, officers, executive director, employees, and representatives of the
146 commission shall be immune from suit and liability, either personally or in their official capacity,
147 for any claims for damage to or loss of property of personal injury or other civil liability caused by
148 or arising out of any actual or alleged act, error or omission that occurred, or that the person

149 against whom the claim is made had a reasonable basis for believing occurred within the scope
150 of commission employment, duties or responsibilities: *Provided*, That nothing in this paragraph
151 shall be construed to protect any such person from suit or liability for any damage, loss, injury, or
152 liability caused by the intentional or willful or wanton misconduct of that person.

153 (2) The commission shall defend any member, officer, executive director, employee, or
154 representative of the commission in any civil action seeking to impose liability arising out of any
155 actual or alleged act, error, or omission that occurred within the scope of commission
156 employment, duties, or responsibilities, or that the person against whom the claim is made had a
157 reasonable basis for believing occurred within the scope of commission employment, duties, or
158 responsibilities: *Provided*, That nothing herein shall be construed to prohibit that person from
159 retaining his or her own counsel: *Provided, however*, That the actual or alleged act, error, or
160 omission did not result from that person's intentional or willful or wanton misconduct.

161 (3) The commission shall indemnify and hold harmless any member, officer, executive
162 director, employee, or representative of the commission for the amount of any settlement or
163 judgment obtained against that person arising out of any actual or alleged act, error or omission
164 that occurred within the scope of commission employment, duties, or responsibilities, or that such
165 person had a reasonable basis for believing occurred within the scope of commission
166 employment, duties, or responsibilities: *Provided*, That the actual or alleged act, error, or
167 omission did not result from the intentional or willful or wanton misconduct of that person.

§30-28A-9. Data System.

1 (a) The commission shall provide for the development, maintenance, and utilization of a
2 coordinated database and reporting system containing licensure, adverse action, and
3 investigative information on all licensed in member states.

4 (b) A member state shall submit a uniform data set to the data system on all individuals to
5 whom this compact is applicable (utilizing a unique identifier) as required by the rules of the
6 commission, including:

- 7 (1) Identifying information;
8 (2) Licensure data;
9 (3) Adverse actions against a license or compact privilege;
10 (4) Non-confidential information related to alternative program participation;
11 (5) Any denial of application for licensure, and the reason or reasons for such denial;
12 (6) Other information that may facilitate the administration of this compact, as determined
13 by rules of the commission; and
14 (7) Current significant investigative information.
15 (c) Current significant investigative information and other investigative information
16 pertaining to a licensee in any member state will only be available to other member states.
17 (d) The commission shall promptly notify all member states of any adverse action taken
18 against a licensee or an individual applying for a license. Adverse action information pertaining to
19 a licensee in any member state will be available to any other member state.
20 (e) Member states contributing information to the data system may designate information
21 that may not be shared with the public without the express permission of the contributing state.
22 (f) Any information submitted to the data system that is subsequently required to be
23 expunged by the laws of the member state contributing the information shall be removed from the
24 data system.

§30-28A-10. Rulemaking.

- 1 (a) The commission shall exercise its rulemaking powers pursuant to the criteria set forth
2 in this section and the rules adopted thereunder. Rules and amendments shall become binding
3 as of the date specified in each rule or amendment.
4 (b) The commission shall promulgate reasonable rules in order to effectively and efficiently
5 achieve the purposes of the compact. Notwithstanding the foregoing, in the event the commission
6 exercises its rulemaking authority in a manner that is beyond the scope of the purposes of the
7 compact, or the powers granted hereunder, then such an action by the commission shall be invalid

8 and have no force and effect.

9 (c) If a majority of the legislatures of the member states rejects a rule, by enactment of a
10 statute or resolution in the same manner used to adopt the compact within four years of the date
11 of adoption of the rule, then such rule shall have no further force and effect in any member state.

12 (d) Rules or amendments to the rules shall be adopted at a regular or special meeting of
13 the commission.

14 (e) Prior to promulgation and adoption of a final rule or rules by the commission, and at
15 least 30 days in advance of the meeting at which the rule will be considered and voted upon, the
16 commission shall file a notice of proposed rulemaking:

17 (1) On the website of the commission or other publicly accessible platform; and

18 (2) On the website of each member state occupational therapy licensing board or other
19 publicly accessible platform or the publication in which each state would otherwise publish
20 proposed rules.

21 (f) The notice of proposed rulemaking shall include:

22 (1) The proposed time, date, and location of the meeting in which the rule will be
23 considered and voted upon;

24 (2) The text of the proposed rule or amendment and the reason for the proposed rule;

25 (3) A request for comments on the proposed rule from any interested person; and

26 (4) The manner in which interested persons may submit notice to the commission of their
27 intention to attend the public hearing and any written comments.

28 (g) Prior to adoption of a proposed rule, the commission shall allow persons to submit
29 written data, facts, opinions, and arguments, which shall be made available to the public.

30 (h) The commission shall grant an opportunity for a public hearing before it adopts a rule
31 or amendment if a hearing is requested by:

32 (1) At least 25 persons;

33 (2) A state or federal governmental subdivision or agency; or

34 (3) An association or organization having at least 25 members.

35 (l) If a hearing is held on the proposed rule or amendment, the commission shall publish
36 the place, time, and date of the scheduled public hearing. If the hearing is held via electronic
37 means, the Commission shall publish the mechanism for access to the electronic hearing.

38 (1) All persons wishing to be heard at the hearing shall notify the executive director of the
39 commission or other designated member in writing of their desire to appear and testify at the
40 hearing not less than five business days before the scheduled date of the hearing.

41 (2) Hearings shall be conducted in a manner providing each person who wishes to
42 comment a fair and reasonable opportunity to comment orally or in writing.

43 (3) All hearings will be recorded. A copy of the recording will be made available on request.

44 (4) Nothing in this section shall be construed as requiring a separate hearing on each rule.
45 Rules may be grouped for the convenience of the commission at hearings required by this section.

46 (j) Following the scheduled hearing date, or by the close of business on the scheduled
47 hearing date if the hearing was not held, the commission shall consider all written and oral
48 comments received.

49 (k) If not written notice of intent to attend the public hearing by interested parties is
50 received, the commission may proceed with promulgation of the proposed rules without a public
51 hearing.

52 (l) The commission shall, by majority vote of all members, take final action on the proposed
53 rule and shall determine the effective date of the rule, if any, based on the rulemaking record and
54 the full text of the rule.

55 (m) Upon determination that an emergency exists, the commission may consider and
56 adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that
57 the usual rulemaking procedures provided in the compact and in this section shall be retroactively
58 applied to the rule as soon as reasonably possible, in no event later than 90 days after the
59 effective date of the rule. For the purposes of this provision, an emergency rule is one that must

60 be adopted immediately in order to:

61 (1) Meet an imminent threat to public health, safety, or welfare;

62 (2) Prevent a loss of commission or member state funds;

63 (3) Meet a deadline for the promulgation of an administrative rule that is established by
64 federal law or rule; or

65 (4) Protect public health and safety.

66 (n) The commission or an authorized committee of the commission may direct revisions
67 to a previously adopted rule or amendment for purposes of correcting typographical errors, errors
68 in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be
69 posted on the website of the commission. The revision shall be subject to challenge by any person
70 for a period of 30 days after posting. The revision may be challenged only on grounds that the
71 revision results in a material change to a rule. A challenge shall be made in writing and delivered
72 to the chair of the commission prior to the end of the notice period. If no challenge is made, the
73 revision will take effect without further action. If the revision is challenged, the revision may not
74 take effect without the approval of the commission.

§30-28A-11. Oversight, dispute resolution, and enforcement.

1 (a) Oversight —

2 (1) The executive, legislative, and judicial branches of state government in each member
3 state shall enforce this compact and take all actions necessary and appropriate to effectuate the
4 compact's purpose and intent. The provisions of this compact and the rules promulgated
5 hereunder shall have standing as statutory law.

6 (2) All courts shall take judicial notice of the compact and the rules in any judicial or
7 administrative proceeding in a member state pertaining to the subject matter of this compact
8 which may affect the powers, responsibilities, or actions of the commission.

9 (3) The commission shall be entitled to receive service of process in any such proceeding,
10 and shall have standing to intervene in such a proceeding for all purposes. Failure to provide

11 service of process to the commission shall render a judgment or order void as to the commission,
12 this compact, or promulgated rules.

13 (b) Default, technical assistance, and termination —

14 (1) If the commission determines that a member state has defaulted in the performance
15 of its obligations or responsibilities under this compact or the promulgated rules, the commission
16 shall:

17 (A) Provide written notice to the defaulting state and other member states of the nature of
18 the default, the proposed means of curing the default or any other action to be taken by the
19 commission; and

20 (B) Provide remedial training and specific technical assistance regarding the default.

21 (2) If a state in default fails to cure the default, the defaulting state may be terminated from
22 the compact upon an affirmative vote of a majority of the member states, and all rights, privileges
23 and benefits conferred by this compact may be terminated on the effective date of termination. A
24 cure of the default does not relieve the offending state of obligations or liabilities incurred during
25 the period of default.

26 (3) Termination of membership in the compact shall be imposed only after all other means
27 of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be
28 given by the commission to the governor, the majority and minority leaders of the defaulting state's
29 legislature, and each of the member states.

30 (4) A state that has been terminated is responsible for all assessments, obligations, and
31 liabilities incurred through the effective date of termination, including obligations that extend
32 beyond the effective date of termination.

33 (5) The commission shall not bear any costs related to a state that is found to be in default
34 or that has been terminated from the compact, unless agreed upon in writing between the
35 commission and the defaulting state.

36 (6) The defaulting state may appeal the action of the commission by petitioning the U.S.

37 District Court for the District of Columbia or the federal district where the commission has its
 38 principal offices. The prevailing member shall be awarded all costs of such litigation, including
 39 reasonable attorney’s fees.

40 (c) Dispute resolution —

41 (1) Upon request by a member state, the commission shall attempt to resolve disputes
 42 related to the compact that arise among member states and between member and non-member
 43 states.

44 (2) The commission shall promulgate a rule providing for both mediation and binding
 45 dispute resolution for disputes as appropriate.

46 (d) Enforcement —

47 (1) The commission, in the reasonable exercise of its discretion, shall enforce the
 48 provisions and rules of this compact.

49 (2) By majority vote, the commission may initiate legal action in the United States District
 50 Court for the District of Columbia or the federal district where the commission has its principal
 51 offices against a member state in default to enforce compliance with the provisions of the compact
 52 and its promulgated rules and bylaws. The relief sought may include both injunctive relief and
 53 damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded
 54 all costs of such litigation, including reasonable attorney’s fees.

55 (3) The remedies herein shall not be the exclusive remedies of the commission. The
 56 commission may pursue any other remedies available under federal or state law.

§30-28A-12. Date of implementation of the interstate commission for occupational therapy practice and associated rules, withdrawal, and amendment.

1 (a) The compact shall come into effect on the date on which the compact statute is enacted
 2 into law in the tenth member state. The provisions, which become effective at that time, shall be
 3 limited to the powers granted to the commission relating to assembly and the promulgation of
 4 rules. Thereafter, the commission shall meet and exercise rulemaking powers necessary to the

5 implementation and administration of the compact.

6 (b) Any state that joins the compact subsequent to the commission's initial adoption of the
7 rules shall be subject to the rules as they exist on the date on which the compact becomes law in
8 that state. Any rule that has been previously adopted by the commission shall have the full force
9 and effect of law on the day the compact become law in that state.

10 (c) Any member state may withdraw from this compact by enacting a statute repealing the
11 same.

12 (1) A member state's withdrawal shall not take effect until six months after enactment of
13 the repealing statute.

14 (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's
15 occupational therapy licensing board to comply with the investigative and adverse action reporting
16 requirements of this act prior to the effective date of withdrawal.

17 (d) Nothing contained in this compact shall be construed to invalidate or prevent any
18 occupational therapy licensure agreement or other cooperative agreement between a member
19 state and a non-member state that does not conflict with the provisions of this compact.

20 (e) This compact may be amended by the member states. No amendment to this compact
21 shall become effective and binding upon any member state until it is enacted into the laws of all
22 member states.

§30-28A-13. Construction and severability.

1 This compact shall be liberally construed so as to effectuate the purposes thereof. The
2 provisions of this compact shall be severable and if any phrase, clause, sentence, or provision of
3 this compact is declared to be contrary to the constitution of any member state or of the United
4 States or the applicability thereof to any government, agency, person, or circumstance is held
5 invalid, the validity of the remainder of this compact and the applicability thereof to any
6 government, agency, person, or circumstance shall not be affected thereby. If this compact shall
7 be held contrary to the constitution of any member state, the compact shall remain in full force

8 and effect as to the remaining member states and in full force and effect as to the member state
9 affected as to all severable matters.

§30-28A-14. Binding effect of compact and other laws.

1 (a) A licensee providing occupational therapy in a remote state under the compact
2 privilege shall function within the laws and regulations of the remote state.

3 (b) Nothing herein prevents the enforcement of any other law of a member state that is
4 not inconsistent with the compact.

5 (c) Any laws in a member state in conflict with the compact are superseded to the extent
6 of the conflict.

7 (d) Any lawful actions of the commission, including all rules and bylaws promulgated by
8 the commission, are binding upon member states.

9 (e) All agreements between the commission and the member states are biding in
10 accordance with their terms.

11 (f) In the event any provision of the compact exceeds the constitutional limits imposed on
12 the legislature of any member state, the provision shall be ineffective to the extent of the conflict
13 with the constitutional provision in question in that member state.

NOTE: The purpose of this bill is to establish an occupational therapy compact to facilitate the interstate practice of occupational therapy. The bill sets forth the purpose in creating the compact. The bill provides for definitions, establishes guidelines for state participation in the compact, and creates a compact privilege. The bill creates a means to obtain a state home license through the compact. The bill defines active duty military personnel and their spouses for purposes of the compact. The bill defines adverse actions and establishes the occupational therapy compact commission. The bill creates a data system and provides for rulemaking. The bill provides for oversight, dispute resolution, and enforcement. The bill creates a date of implementation of the interstate commission for occupational therapy practice and associated rules, withdrawal, and amendment. The bill provides for the construction and severability of the compact. Finally, the bill establishes the binding effect of the compact and other laws.

Strike-throughs indicate language that would be stricken from a heading or the present law and underscoring indicates new language that would be added.